



Hereditary Predisposition to Hematopoietic Neoplasms: When Bloodline Matters for Blood Cancers

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Abstract

With the advent of precision genomics, hereditary predisposition to hematopoietic neoplasms—collectively known as hereditary predisposition syndromes (HPS)—are being increasingly recognized in clinical practice. Familial clustering was first observed in patients with leukemia, which led to the identification of several germline variants, such as *RUNX1*, *CEBPA*, *GATA2*, *ANKRD26*, *DDX41*, and *ETV6*, among others, now established as HPS, with tendency to develop myeloid neoplasms. However, evidence for hereditary predisposition is also apparent in lymphoid and plasma-cell neoplasms, with recent discoveries of germline variants in genes such as *IKZF1*, *SH2B3*, *PAX5* (familial acute lymphoblastic leukemia), and *KDM1A/LSD1* (familial multiple myeloma). Specific inherited bone marrow failure syndromes—such as *GATA2* haploinsufficiency syndromes, short telomere syndromes, Shwachman-Diamond syndrome, Diamond-Blackfan anemia, severe congenital neutropenia, and familial thrombocytopenias—also have an increased predisposition to develop myeloid neoplasms, whereas inherited immune deficiency syndromes, such as ataxia-telangiectasia, Bloom syndrome, Wiskott Aldrich syndrome, and Bruton agammaglobulinemia, are associated with an increased risk for lymphoid neoplasms. Timely recognition of HPS is critical to ensure safe choice of donors and/or conditioning-regimen intensity for allogeneic hematopoietic stem-cell transplantation and to enable direction of appropriate genomics-driven personalized therapies. The purpose of this review is to provide a comprehensive overview of HPS and serve as a useful reference for clinicians to recognize relevant signs and symptoms among patients to enable timely screening and referrals to pursue germline assessment. In addition, we also discuss our institutional approach toward identification of HPS and offer a stepwise diagnostic and management algorithm.

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As genetic sequencing approaches are increasingly being integrated in clinical oncology and hematology, a number of germline genetic variants are being discovered in patients with cancer and their family members, also known as hereditary predisposition syndromes (HPS). For hematopoietic malignancies, familial clustering was first identified in several families with chronic lymphocytic leukemia (CLL) and acute myeloid leukemia (AML).¹⁻⁴ Since then, several putative germline variants have been associated with myeloid neoplasms such as *RUNX1*⁵ (for expansion of gene symbols, go to www.genenames.org), *CEBPA*,⁶ *GATA2*,⁷ *ANKRD26*,^{8,9} *DDX41*,¹⁰ *ETV6*,¹¹

TERC/TERT,¹² and *SRP72*,¹³ along with those (such as *TP53*¹⁴) implicated in established cancer predisposition syndromes. In recognition of these discoveries, the latest iteration of the World Health Organization (WHO) classification of hematopoietic neoplasms now includes a separate category for myeloid neoplasms with a germline predisposition.¹⁵ However, hereditary predisposition toward hematopoietic neoplasia is not only limited to those with a myeloid lineage cell of origin but also includes lymphoid and plasma-cell cancers, with recent discoveries of pathogenic variants in genes *KDM1A/LSD1*¹⁶ and *DIS3*,¹⁷ among others. In light of these new findings, clinicians should

have a high index of clinical suspicion in recognizing these entities, especially in patients with whom a typical family history or classical disease-associated signs and symptoms may be noticeably absent. Recognizing a germline predisposition has important clinical implications for both the patients (donor and/or conditioning regimen selection for allogeneic hematopoietic stem cell transplantation [HCT] and directing appropriate therapies) and their family members (screening and genetic counseling).

In this review, we discuss hematopoietic neoplasms with a hereditary predisposition and provide an overview of a personalized management approach followed at the Mayo Clinic Center for Individualized Medicine Precision Medicine Clinic (IRB# 16-004173 and NCT#02958462), where patients with high indices of clinical suspicion for hereditary predisposition syndrome (HPS) undergo a step-wise approach, starting with counseling, targeted sequencing (targeted exome) (Supplemental Table 1 [available online at <http://www.mayoclinicproceedings.org>]) and, if negative, whole exome sequencing to identify candidate gene variants followed by confirmation on germline tissue or sequencing affected/unaffected family members.

MYELOID NEOPLASMS

Among hereditary hematopoietic neoplasms, hereditary myeloid malignancy syndromes (HMMS) are the best characterized, both clinically and genomically.¹⁸ Despite considerable overlap—based on the presence or absence of characteristic syndromic features—these disorders can be grouped as follows:

Familial Thrombocytopenia With Predisposition to Myeloid Malignancies

Initial linkage analysis studies among families with AML and familial platelet disorders revealed clustering at chromosome 21q22.1-22.2 loci,¹⁹ which led to the identification of germline *RUNX1* haploinsufficiency (also called *RUNX1*-familial predisposition syndrome).⁵ Other disorders include autosomal dominant variants in the 5' untranslated

ARTICLE HIGHLIGHTS

- Among hematopoietic neoplasms, hereditary predisposition is being increasingly recognized in clinical practice.
- It is important for clinicians to recognize the subtle clinical signs and symptoms to diagnose and manage patients expeditiously.
- Identifying a hereditary predisposition can have significant therapeutic implications for patients with hematopoietic neoplasms.

region of *ANKRD26* gene, which is associated with increased risk of myelodysplastic syndrome (MDS)/AML^{8,9} and germline missense pathogenic variants in the *ETV6* gene, which increases predisposition to a heterogeneous group of hematologic malignancies (multiple myeloma [MM], pre-B-cell acute lymphoblastic leukemia [ALL], MDS, chronic myelomonocytic leukemia [CMML], and biphenotypic acute leukemia).^{8,9,11,20} Additional details are mentioned in Table 1.^{20,21}

Syndromic Familial Myeloid HPS. Syndromic association of lymphedema and MDS/AML, known as Emberger syndrome, was found to result from alterations in *GATA2* gene, which normally encodes a critical transcription factor essential for vascular development and hematopoietic stem-cell differentiation. Similarly, patients with monocytopenia and mycobacterial infections (MonoMAC) syndrome, and those with dendritic cell, monocyte, B and natural killer lymphoid deficiency, were found to harbor heterozygous variants in *GATA2*. Collectively, perturbations in the *GATA2* gene are now categorized as “*GATA2* haploinsufficiency syndrome,” and afflicted individuals are unified by a characteristic phenotypic variability and an increased tendency toward developing MDS and/or AML.⁷ Inherited defects in the nucleotide excision repair pathway are also associated with HPS. Patients with xeroderma pigmentosum, characterized by defects in nucleotide excision repair (*XPC* delTG germline variants) were found to have an increased predisposition toward developing Tp53/complex

TABLE 1. Hematological Disorders and Neoplasms With a Germline Predisposition and Associated Gene Mutations and/or Chromosomal Abnormalities*

| Genes involved | Chromosomal location | Gene function | Associated neoplasms and hematologic disorders | Ref. |
|---|----------------------|---|--|-------------|
| Myeloid neoplasms and bone marrow-failure syndromes | | | | |
| <i>CEBPA</i> | 19q13.11 | Enhancer/transcription factor | AML | 6 |
| <i>DDX41</i> | 5q35.3 | RNA helicase function | MDS | 10 |
| <i>SRP72</i> | 4q12 | Endoplasmic reticulum function | MPN | 22 |
| <i>MBD4</i> | 3q21.3 | Binding and protection of methylated DNA | | 23 |
| <i>SAMD9/9L</i> | 7q21.2 | DNA repair | | 24–26 |
| <i>RECQL4</i> | 8q24.3 | DNA helicase (unwinding of DNA) | | 27–29 |
| <i>PTPN11</i> | 12q24.13 | Regulation of RAS/MAPK signaling pathway | CMML, JMML, Noonan syndrome | 30 |
| <i>CBL</i> | 11q23.3 | RAS pathway regulation | JMML | 31,32 |
| <i>RUNX1</i> | 21q22.12 | Transcription factor | Familial thrombocytopenia | 5 |
| <i>ETV6</i> | 12p13.2 | Transcription factor | | 11 |
| <i>ANKRD26</i> | 10p12.1 | Protein-protein interactions | | 8,9 |
| <i>MECOM</i> | 3q26.2 | Transcriptional regulator | | 33 |
| <i>RBM8A (5'UTR, 1st intron)</i> | 1q21.1 | Cellular protein production | | 20 |
| <i>C-MPL</i> | 1p34.2 | Cell proliferation | | 21,34 |
| <i>ELANE</i> | 19p13.3 | Neutrophil elastase production | Severe congenital neutropenia | 35 |
| <i>HAX1</i> | 1q21.3 | Regulation of apoptosis | | 36 |
| <i>WAS</i> | Xp11.23 | Maintaining cellular structural framework | | 37 |
| <i>CSF3R</i> | 1p34.3 | Granulocyte maturation and function | | 38 |
| <i>GATA2</i> | 3q21.3 | Zinc-finger transcription factor | GATA2 haploinsufficiency syndrome | 7 |
| <i>TERT</i> | 5p15.33 | Catalytic subunit of telomerase | Short telomere syndromes | 12,33,39–41 |
| <i>TERC</i> | 3q26.2 | Telomerase RNA component | | |
| <i>RTEL1</i> | 20q13.33 | DNA helicase (telomere protection) | | |
| <i>POT1</i> | 7q31.22 | Telomere maintenance | | |
| <i>FANCA</i> | 16q24.3 | DNA repair | Fanconi anemia | 42 |
| <i>FANCB</i> | Xp22.31 | | | |
| <i>FANCC</i> | 9q22.3 | | | |
| <i>FANCD1/BRCA2</i> | 13q12.3 | | | |
| <i>FANCE</i> | 6p21.3 | | | |
| <i>FANCF</i> | 11p15 | | | |
| <i>FANCG</i> | 9p13 | | | |
| <i>FANCI</i> | 15q25–26 | | | |
| <i>FANCF</i> | 17q22.3 | | | |
| <i>FANCL</i> | 2p16.1 | | | |
| <i>FANCM</i> | 14q21.3 | | | |
| <i>FANCN</i> | 16p12.1 | | | |
| <i>SBDS</i> | 7q11.21 | RNA processing | Shwachman-Diamond syndrome | 43 |
| <i>DNAJC21</i> | 5p13.2 | Co-chaperone for HSP70, RNA biogenesis | | 44,45 |
| <i>EFL1</i> | 15q25.2 | | | 46 |

Continued on next page

TABLE 1. Continued

| Genes involved | Chromosomal location | Gene function | Associated neoplasms and hematologic disorders | Ref. |
|--|----------------------|---|--|----------|
| SBDS, continued | | | | |
| RPS19 | 19q13.2 | Ribosome assembly and function (all RPS and RPL genes) | Diamond-Blackfan anemia | 42,47,48 |
| RPS24 | 10q22.3 | | | |
| RPS17 | 15q25.2 | | | |
| RPL5 | 1p22.1 | | | |
| RPL11 | 1p36.11 | | | |
| RPL35A | 3q29 | | | |
| GATA1 | Xp11.23 | Erythroid transcription factor | Familial MPN Down's syndrome (with associated AML, ALL, TMD) | 48–52 |
| TSR2 | Xp11.22 | Ribosomal maturation factor | | 53 |
| ATG2B/GSKIP | 14q32.2 | Cell differentiation | | 54,55 |
| Trisomy 21 | - | - | | 56 |
| Lymphoid neoplasms and immune deficiency syndromes | | | | |
| IKZF1 | 7p12.2 | Transcription factor | ALL | 57 |
| PAX5 | 9p13.2 | Transcription factor | NHL | 58 |
| SH2B3 | 12q24.12 | Cell signaling and transduction | HL/NHL | 59,60 |
| TP53 | 17p13.1 | Tumor suppressor | CLL | 14,61 |
| ATM | 11q22.3 | Cell division and DNA repair | Ataxia telangiectasia | 62 |
| BLM | 15q26.1 | DNA helicase | Bloom syndrome | 62 |
| PTPN11 | 12q24.13 | Regulation of RAS/MAPK signaling pathway | Leopard/Noonan syndrome | 62 |
| NF1 | 17q11.2 | Encodes neurofibromin | Neurofibromatosis (type 1) | 62 |
| NBS1 | 8q21.3 | DNA repair | Nijmegen Breakage syndrome | 62 |
| WAS | Xp11.23 | Maintaining cellular structural framework | Wiskott-Aldrich syndrome | 62 |
| BTK | Xq22.1 | Development and maturation of B cells | Bruton agammaglobulinemia | 62 |
| FAS | 10q23.31 | Cell signaling and apoptosis | Autoimmune lymphoproliferative syndrome | 62 |
| FASLG | 1q24.3 | Induction of apoptosis | | |
| CASP10 | 2q33.1 | Execution-phase of apoptosis | | |
| KLHDC8B (also 5'UTR region) | 3p21.31 | Protein-protein interactions | Hodgkin lymphoma | 63 |
| Plasma cell neoplasms | | | | |
| KDM1A/LSD1 | 1p36.12 | Histone demethylation | MM, MGUS, WM | 16 |
| DIS3 | 13q22.1 | RNA processing | | 17 |
| Cancer predisposition syndromes | | | | |
| TP53 | 17p13.1 | Tumor suppression | Li-Fraumeni syndrome | 14 |
| MSH2 | 2p21-p16.3 | DNA mismatch repair | Lynch syndrome | 64 |
| MLH1 | 3p22.2 | | | |
| MSH6 | 2p16.3 | | | |
| PMS2 | 7p22.1 | | | |

*Owing to the large number of genes, only a select few have been incorporated in the table.

ALL = acute lymphoblastic leukemia; AML = acute myeloid leukemia; CLL = chronic lymphoblastic leukemia; CMML = chronic myelomonocytic leukemia; HL = Hodgkin lymphoma; JMML = juvenile myelomonocytic leukemia; MDS = myelodysplastic syndromes; MGUS = monoclonal gammopathy of undetermined significance; MM = multiple myeloma; MPN = myeloproliferative neoplasm; NHL = non-Hodgkin lymphoma; TMD = transient myeloproliferative disorder; WM = Waldenström macroglobulinemia.

karyotype MDS and AML.⁶¹ In 2016, a new syndrome of myelodysplasia, infection, growth restriction, adrenal hypoplasia, genital abnormalities, and enteropathy (also

known as MIRAGE syndrome) was identified among 11 patients and found to be caused by germline-activating heterozygous variants in the *SAMD9* gene. Similarly, missense variants

in a paralog of *SAMD9*, known as *SAMD9L*, were found to be associated with ataxia-pancytopenia syndrome. Biologically, both these genes have a role in endosomal fusion, and *SAMD9* regulates growth factor signal transduction.²⁴ It is interesting that loss of chromosome 7 was the most common genetic event heralding the onset of MDS, likely as an adaptation mechanism to the growth-restrictive effects from mutant *SAMD9* protein.^{25,26} Heterozygous variants in DNA helicase genes—in particular, *RECQL4*—have been associated with AML.²⁷ Germline variants in this gene have been implicated in causing a defined syndrome characterized by dermatosis, short stature, juvenile cataracts, skeletal abnormalities, radial ray defects, premature aging, and predisposition to malignancies such as osteosarcoma, lymphoma, and AML, also known as Rothmund-Thomson syndrome.^{28,29}

Genomic signatures that define the predisposing clinical syndromes can sometimes point toward the type of myeloid neoplasms that may develop later. Specific examples include germline variants activating the RAS-MAP kinase pathway in genes, *PTPN11* (Noonan syndrome) and *CBL*, which increase predisposition to develop myeloid neoplasms primarily genomically dominated by RAS pathway variants (juvenile myelomonocytic leukemia [JMML], CMML)^{30–32} (Table 1).

Familial Predisposition to Myeloproliferative Neoplasms

Evidence of a familial predisposition for myeloproliferative neoplasms (MPNs) was apparent when single nucleotide polymorphisms within the *JAK2* (46/1 haplotype) gene were shown to predispose to MPN.^{65–69} Subsequently, in 4 genetically related families, germline duplication of a 700-kilo base containing region of chromosome 14q32 containing 5 protein coding genes—*TCL1A*, *ATG2B*, *GSKIP*, *BDKRB1*, and *BDKRB2*—were found to cooperate with acquired *JAK2*, *MPL*, and *CALR* mutations in inducing disease by conferring a fitness advantage to cells carrying these mutations and resulting in a highly penetrant

MPN phenotype.⁵⁴ Substantive correlative studies narrowed down the pathogenicity to *ATG2B* and *GSKIP* genes; however, this conclusion has been contested by the report of a family with germline duplication of chromosome 14q32 without involving the *ATG2B* and *GSKIP* genes.⁵⁵ Other germline variants shown to predispose to development of MPNs include *RBBP6*⁷⁰ and *SH2B3*.⁷¹ Single nucleotide polymorphisms in *TERT*, *SH2B3*, *MECOM*, *HBS1L*, *MYB*, *TET2*, *ATM*, *CHEK2*, *LINC-PINT*, and *GF1B* genes have also been associated in families with MPN clustering.^{33,39,60,71,72} However, it is also relevant to note that despite familial clustering of MPNs, a clear predisposition gene cannot be found, highlighting limitations of current technology and knowledge.

Nonsyndromic Familial Myeloid Predisposition Syndromes

This group of HMMS do not present with any characteristic syndrome or clinical features. Germline variant in the gene, *CEBPA*, which encodes CEBPA α , has been associated with MDS/AML.⁶ This was followed by the discovery that patients with germline pathogenic variants in the DEAD/H-box helicase gene, *DDX41* gene, were predisposed to somatic *DDX41* variants as a second hit, with the consequent development of high-risk MDS/AML.¹⁰ In addition, *DDX41* expression was found to be haploinsufficient in patients with deletion 5q involving the *DDX41* locus and associated with responses to lenalidomide, highlighting important therapeutic implications.^{10,73} Similarly, biallelic germline pathogenic variants in *ERCC6L2* have been shown to increase predisposition to AML,⁷⁴ and exome and single-nucleotide polymorphism haplotype analysis have also identified *SRP72* pathogenic variant in a family with aplastic anemia (AA) and MDS.²² Recently, germline pathogenic variants in the *MBD4* gene, which functions to repair spontaneous deamination-induced methylation damage via the base excision pathway, were found to be associated with early-onset AML, through acquisition of mutant driver

genes, most notably *DNMT3A*.²³ Additional details are in Table 1.

Inherited Bone Marrow-Failure Syndromes With Predisposition to Myeloid Neoplasms

Beyond these specific genomically defined HMMS, patients with inherited bone marrow failure also have an increased cumulative incidence of MDS and AML.⁴² Complementation groups of Fanconi anemia have a well-established association with MDS/AML,⁷⁵ which is characterized by presence of a specific pattern of unbalanced chromosomal translocations and partial chromosome arm duplications or deletions (including a cryptic *RUNX1/AML1* fusion) and virtual absence of classical *de novo* translocations such as t(8;21), t(15;17) and *MLL*.⁷⁶ Biallelic germline pathogenic variants in the 22 *FANCF* genes (except *FANCB* and *FANCR*) are associated with AML.⁷⁷

Inherited bone marrow-failure syndromes grouped by gene variants affecting telomere structure and function, also known as short telomere syndrome (STS), display a wide spectrum of phenotypic diversity (premature graying of hair, idiopathic pulmonary fibrosis, immune dysregulation, and/or cryptogenic cirrhosis) and are characterized by increased predisposition toward MDS/AML.^{40,41,78-80} Specifically among STS genes, thus far only *TERT*, *TERC*, and *RTEL1* have been associated with myeloid neoplasms.^{12,40,80,81} Germline variants in the *POT1* gene, which is part of the Shelterin complex and functions to protect the structural integrity of telomeres, is also associated with increased incidence of several cancers such as familial CLL, colorectal carcinoma, angiosarcoma, glioma, and malignant melanoma, also called “long telomere syndromes,” owing to a pathological mechanism of telomere maintenance and elongation.⁸²⁻⁸⁵

As iterated before, *GATA2* haploinsufficiency syndrome is now considered a genomically defined germline bone marrow-failure syndrome, with an increased predisposition to develop myeloid leukemias.^{86,87} Specific morphologic, immunophenotypic, and cytogenetic features distinguish *GATA2*-related bone marrow failure from idiopathic AA,

such as presence of greater overall cellularity, increased atypical megakaryocytes, reduced monocytes, mature B and NK cells, increased atypical plasma cells (in a subset of *GATA2* patients), and abnormal cytogenetics (in >50% *GATA2* patients) with common abnormalities including trisomy 8, monosomy 7, and deletion 7q.⁸⁸ Specific genotypic correlations are observed in germline *GATA2*-related AML such as predominance of pathogenic variants in the second zinc finger domain of *GATA2* and acquisition of somatic “second-hit” variants in *ASXL1* and *FLT3L* genes heralding the development of AML.^{87,89,90} Mechanistic cooperation of *GATA2* gene with the aforementioned somatic variants needs further exploration. Shwachman-Diamond syndrome is an autosomal recessive inherited bone marrow-failure syndrome (IBMFS) caused by pathogenic variants in the *SBDS* gene (>90% patients) affecting ribosome biogenesis and clinically characterized by skeletal and neurodevelopmental abnormalities, exocrine pancreatic insufficiency, and bone marrow failure with increased predisposition toward development of MDS and/or AML.⁹¹ Specific chromosomal abnormalities include interstitial deletion of long arm of chromosome 20 and isochromosome of long arm of chromosome 7.^{92,93} Clonal interstitial deletion of the long arm of chromosome 20 is thought to confer a better prognosis and is genomically characterized by the loss of *EIF6*.⁹² In a small proportion of patients, biallelic variants in 2 other genes, *DNAJC21* and *EFL1*, may also cause a Shwachman-Diamond syndrome-like condition.⁴⁴⁻⁴⁶

Diamond-Blackfan anemia (DBA) is an IBMFS classified as a ribosomal disorder characterized by red cell aplasia, congenital abnormalities (craniofacial anomalies such as cleft snub nose and wide-spaced eyes and upper-extremity anomalies such as radial abnormalities including hypoplastic thumbs, genitourinary and cardiac anomalies such as atrial and/or ventricular septal defect and coarctation of aorta), and increased predisposition toward developing MDS/AML and osteogenic sarcoma.^{42,47} In approximately 70% cases with a DBA phenotype, causative variants include *RPS19*,

RPS24, *RPS17*, *RPL5*, *RPL11*, and *RPL35A*, all inherited in an autosomal dominant pattern and with an impact on ribosomal biogenesis.^{42,48} Of note, among this group of HPS, there are specific genotype-phenotype correlations such as association of craniofacial abnormalities with *RPL5* (which binds with *TCOF1*).⁴⁷ Typical laboratory clues to identification of DBA include presentation of anemia before the first birthday, reticulocytopenia, macrocytosis, increased fetal hemoglobin levels, and elevation of erythrocyte adenosine deaminase enzyme (to be assessed before red blood cell transfusion dependence).⁴⁷ In a large DBA registry, the incidence of AML/MDS was reported to be approximately 1% (6 of 608 patients) after a prolonged follow-up.⁴⁹ However, mechanistic biology of clonal evolution in this disease is still not clear. DBA-like phenotype can also occur as a consequence of loss of function *GATA1* variants,^{50–52,94} biallelic *ADA2* variants resulting in a deficiency of adenosine deaminase-2,⁹⁵ and *TSR2* variants, also associated with mandibulofacial dysostosis.⁵³

Severe congenital neutropenia (SCN) is a heterogeneous group of disorders responsible for neutropenia at or near birth, only a fraction of which are due to inherited or germline variants. Based on the presence or absence of specific clinical features, they can be grouped into the following categories:

SCN without extrahematopoietic abnormalities or primary immunodeficiency. This group includes patients with SCN and pathogenic variants in the *ELANE* gene,³⁵ which encodes for neutrophil elastase and is inherited as an autosomal dominant disorder, and those with germline variants in the G-CSF receptor (*CSF3R*, which may also result in nonresponsiveness to granulocyte growth factor therapy).^{96,97}

SCN without extrahematopoietic abnormalities but with primary immunodeficiency. This group includes SCN patients with loss-of-function *CXCR2* and gain-of-function *CXCR4* variants (associated with warts; hypogammaglobulinemia, immunodeficiency; and

myelokathexis syndrome, also known as WHIM syndrome),⁹⁸ Wiskott Aldrich Syndrome (X-linked disorder associated with microthrombocytopenia, eczema, and recurrent infections),⁹⁹ *CD40LG* (decreased IgM response), *GFII* germline variants (also associated with lymphopenia),¹⁰⁰ and *STK4* (T- and B-cell deficiency) gene abnormalities.¹⁰¹

SCN with extrahematopoietic abnormalities. Patients with SCN and variants in genes, *HAX1* (neurologic manifestations such as developmental delay and seizures),³⁶ *G6PC3* (Dursun syndrome characterized by prominent superficial venous pattern, urogenital and congenital cardiac defects, intermittent thrombocytopenia, and pulmonary hypertension),¹⁰² *TAZ* (Barth syndrome, manifesting as short stature, cardiac and skeletal myopathy),¹⁰³ *LYST* (Chédiak-Hegashi syndrome, manifesting as hypopigmentation, neuropathy, immunodeficiency, and hemophagocytic lymphohistiocytosis), *AP3B1* (Type 2 Hermansky-Pudlak syndrome), and *API4* (albinism),¹⁰⁴ *SBDS* (aforementioned Shwachman Diamond syndrome),⁴³ *C16orf57* (Clericuzio poikiloderma),¹⁰⁵ *SLC37A4* (glycogen storage defect causing hypoglycemia, glycogen overload in liver), *VPS13B* (Cohen syndrome, manifesting as intellectual deficiency, microcephaly, facial abnormalities, joint laxity, hypotonia, truncal obesity, chorioretinal dystrophy, and myopia),¹⁰⁶ *VPS45* (nephromegaly, splenomegaly, primary myelofibrosis of infancy, neurological abnormalities),¹⁰⁷ *TCIRG1* (prominent hemangiomas),¹⁰⁸ *JAGN1* (short stature, bone and teeth defects),¹⁰⁹ *CLPB* (3-methylglutamic aciduria type VII),¹¹⁰ *TCN2* (vitamin B12 deficiency),¹¹¹ *EIF2AK3* (diabetes mellitus, skeletal dysplasia, stunted growth),¹¹² *DNM2* (Charcot-Marie Tooth disease presenting as limb weakness and atrophy),¹¹³ *RAB27A* (hypopigmentation, immunodeficiency, and hemophagocytic lymphohistiocytosis, also known as type 2 Griscelli syndrome),¹¹⁴ *CTSC* (hyperkeratosis and periodontitis),¹¹⁵ and *LAMTOR2* and *RAB27A* (skin manifestations)^{116,117} belong in this group.

Although more than 100 pathogenic variants have been associated with SCN, in approximately 25% patients, no causative variant is found. Further, literature on a definitive association with increased predisposition to develop MDS/AML is only available for a handful of SCN genes: namely, *ELANE*, *HAX1*, *WAS*, and *CSF3R*.³⁷ Classic bone marrow findings include maturation arrest at the promyelocyte/myelocyte stage of development, with atypical nuclei and cytoplasmic vacuolization. A large registry report of 374 well-characterized patients with SCN on long-term (10 years) granulocyte-colony stimulating factor (G-CSF) therapy suggested an annual risk of MDS/AML to be about 2.3% per year, and after 15 years on G-CSF therapy, rate of death from sepsis was approximately 10%, whereas MDS/AML was approximately 22%.¹¹⁸ Some reports imply that acquisition of G-CSF receptor variants signal the onset of MDS/AML in these patients.^{38,119}

Thrombocytopenia absent radii (TAR) syndrome and congenital amegakaryocytic thrombocytopenia (CAMT) are 2 important hereditary causes of thrombocytopenia. TAR syndrome is a rare congenital disorder characterized by bilateral radius aplasia and thrombocytopenia and caused by a chromosome 1 microdeletion including the *RBM8A* gene or a single nucleotide polymorphism within the 5'-UTR or first intron of the *RBM8A* gene.²⁰ CAMT is caused by alterations in the gene for the thrombopoietin receptor, c-Mpl, resulting in high levels of serum thrombopoietin.³⁴ There have only been a few case reports of CAMT and TAR that have evolved to AML.⁴²

LYMPHOID NEOPLASMS

Clinical studies including twin, case-control, cohort, and registry-based studies have shown that first-degree relatives of patients with CLL, non-Hodgkin lymphoma (NHL), and Hodgkin lymphoma (HL) have ~8.5, 1.7, and 3.1 times the rate of developing the same lymphoid malignancy.¹²⁰ Following these clinical observations, Genome-Wide Association Studies have identified several genetic susceptibilities for

these cancers¹²¹⁻¹²⁷ but definite familial predisposition is only attributed to select genes (Table 1).

Inherited pathogenic variant of lymphoid transcription factor, *PAX5* (also called BSAP), accompanied by loss of heterozygosity and retention of a mutant allele at chromosome 9p13, was shown to be associated with familial B-ALL.⁵⁸ Similarly, germline homozygous variants in a negative regulator of cytokine signaling, SH2 adaptor protein 3 (*SH2B3*) and a lymphoid transcription factor, *IKAROS* (*IKZF1*) are associated with B-ALL.^{57,59} As mentioned earlier, the *POT1* gene, part of the shelterin complex of telomeres, has been associated with familial CLL (among other cancers).⁸³ Reciprocal translocation and 5'-UTR polymorphisms in the gene-encoding midbody kelch protein (*KLHDC8B*) have been associated classical and nodular lymphocyte predominant Hodgkin lymphoma.^{63,128}

Specific inherited immune deficiency syndromes—such as ataxia telangiectasia (ATM), Bloom syndrome (BLM), Wiskott Aldrich syndrome (WAS), Nijmegen Breakage syndrome (NBS1), cartilage hair hypoplasia (RMRP), adenosine deaminase 1 deficiency (ADA1), Bruton agammaglobulinemia (BTK), and many others—are also associated with an increased risk of B- and T-cell lymphomas.⁶² Cartilage hair hypoplasia is especially unique as it has some degree of phenotypic overlap with dyskeratosis congenita (DKC), is associated with critically shortened lymphocyte telomere length secondary to a perturbed telomere homeostasis, significant immunodeficiency and predisposition to lymphoid neoplasms.^{129,130} Among B-cell lymphomas in patients with primary immunodeficiency and immune dysregulatory disorders, a meta-analysis has shown a frequency of 37% for unspecified NHL, 15% for diffuse large B-cell lymphoma, 13% for HL, 5% for HL and marginal zone lymphoma, 4% for Burkitt lymphoma, and 0.4% for diffuse histiocytic lymphoma, respectively.⁶² Although the biological mechanism for neoplastic transformation is unclear, an intrinsic susceptibility to DNA damage and excess antigenic stimulation

due to repeated infections in the setting of impaired immune checkpoints and anti-tumor surveillance could explain the increased predisposition for cancer in these disorders. Cellular pathway involving DNA double-strand break repair, which uses nonhomologous end joining and homology-directed recombination, is of particular relevance in the context of monogenic immune system defects and predisposition to hematopoietic neoplasms.⁶² Specific associations are highlighted in Table 1 and reviewed extensively elsewhere.⁶² It is important to note that several monogenic DNA repair defects associated with cancer susceptibility have been classified as XCIND (X-ray susceptibility, cancer, immunodeficiency, and neurologic defects), which also includes the aforementioned syndromes such as ataxia telangiectasia and Nijmegen breakage syndrome.¹³¹⁻¹³⁴

CLONAL PLASMA CELL DISORDERS/ DYSPROTEINEMIAS

Similar to lymphoid neoplasms, familial clusters of monoclonal gammopathy of undetermined significance, MM, and Waldenstrom macroglobulinemia have been noted

in population studies. In 2018, *KDM1A/LSD1* was identified as the first inherited autosomal dominant gene, with an increased predisposition to develop MM. It encodes for a tumor-suppressor protein, which acts as an epigenetic transcriptional repressor by demethylating histone H3 on lysine 4 and regulates hematopoietic stem cell renewal.¹⁶ Recently, exome sequencing has identified variants in the *DIS3* gene in 2 families with MM.¹⁷

GENERAL CANCER PREDISPOSITION SYNDROMES

Besides specific genomic variants associated with either myeloid, lymphoid, or plasma-cell neoplasms, established cancer predisposition syndromes, such as Li-Fraumeni, Lynch, and Down syndrome, also have increased predisposition toward developing hematopoietic neoplasms along with other cancers (Table 1). Approximately 70% of families of Li-Fraumeni syndrome harbor germline variants in the *TP53* gene and develop early onset (age of onset ≤ 45 years) cancers such as soft tissue and osteosarcomas, adrenal cortical carcinoma, pancreatic, pediatric, and breast cancers, and leukemia, among others.^{135,136} Lynch syndrome is genetically characterized by defects in the mismatch repair genes: namely, *MSH1*, *MLH1*, and *MHS6*.¹³⁷ Although hematopoietic malignancies are not traditionally included in the diagnostic criteria for this syndrome, leukemia (AML and CLL), MM and NHL have been associated predominantly with *MSH2*-related defect in mismatch repair.^{64,138} Down syndrome is genomically characterized by the presence of trisomy 21 and truncating variants in the *GATA1* gene, which predispose to the development of transient abnormal myelopoiesis, solid tumors such as retinoblastomas; testicular germ-cell tumors; lymphomas and leukemias, particularly acute megakaryocytic leukemia and B-cell ALL.⁴² Recently, an oncogenic hotspot gain-of-function variant in myeloid cytokine receptor gene, *CSF2RB*, was shown to cooperate with acquired variants in cohesion complex and epigenetic regulators and drive

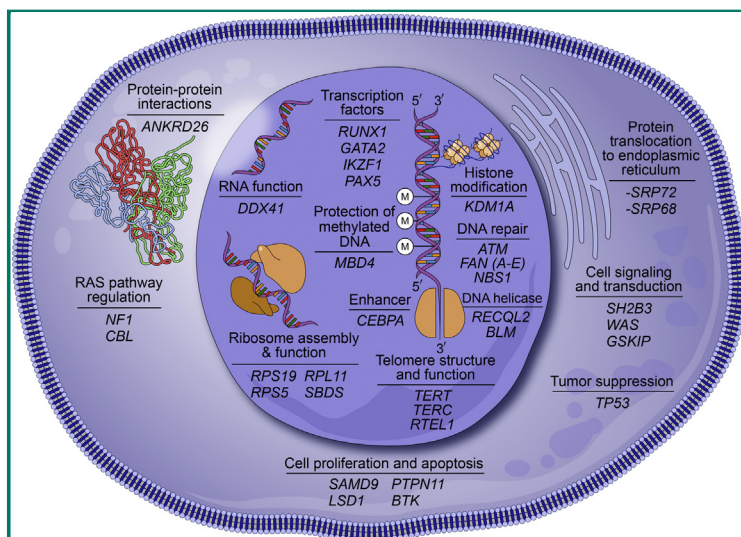


FIGURE 1. Figure showing cellular functions of putative pathogenic germline variants implicated in hereditary predisposition syndrome associated with hematopoietic neoplasms. Of note, only a few examples of involved genes are shown in the Figure. Please refer to the text for a comprehensive list of genes involved.

TABLE 2. Table Highlights Clinical Cues (Other Than Malignancies) That Can Alert Clinicians to Diagnose Patients With Specific Hereditary Predisposition Syndromes

| Syndrome | Clinical features |
|--|--|
| General cues | Young age of onset of cytopenias (age ≤ 40 years), persistent unexplained cytopenias (≥ 3 months), prolonged period of cytopenia before diagnosis of a hematopoietic malignancy, elevated fetal hemoglobin level, unexplained macrocytosis and/or hypocellularity on bone marrow evaluation, unexplained monocytopenia, reticulocytopenia or opportunistic infections, positive family history of a hereditary predisposition syndrome in one or more first- or second- degree relative |
| Short telomere syndromes | Premature greying of hair (age ≤ 30 years), oral leukoplakia, idiopathic pulmonary fibrosis, cryptogenic cirrhosis, unexplained cytopenias and/or immunodeficiency |
| Fanconi anemia | Short stature, microcephaly, development delay, urogenital abnormalities, cutaneous warts, café-au-lait skin lesions |
| GATA2 haploinsufficiency | Lymphedema, monocytopenia, recurrent nontuberculous mycobacterial, fungal and viral infections, pulmonary alveolar proteinosis, anogenital warts |
| Diamond-Blackfan anemia | Early-onset macrocytic anemia, craniofacial, genitourinary and cardiac abnormalities, normocellular bone marrow with erythroid hypoplasia. |
| Shwachman-Diamond syndrome | Skeletal and neurodevelopmental abnormalities, exocrine pancreatic insufficiency |
| Thrombocytopenia-absent radii syndrome | Thrombocytopenia, bilateral radius hypoplasia |
| Severe congenital neutropenia | <i>Varied phenotype and depends on genetic association.</i> Common associations include immunodeficiency, skeletal defects, stunted growth, skin hypopigmentation, recurrent infections, neurological defects |

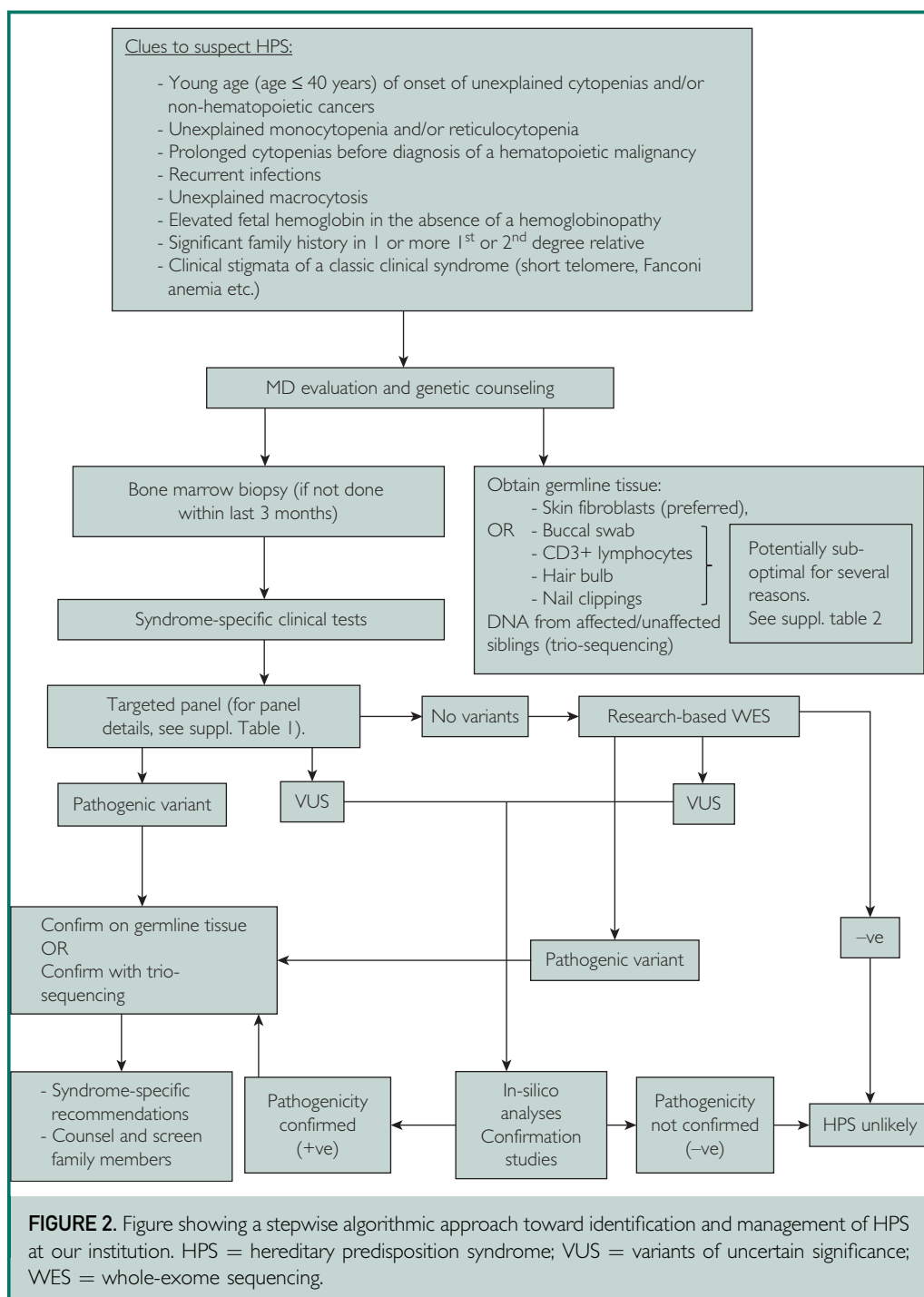
leukemic transformation from transient abnormal myelopoiesis in these patients.⁵⁶ Detailed discussions of these syndromes are beyond the scope of this review and interested readers are referred to Garber et al.¹³⁷ Figure 1 provides a mechanistic overview of cellular functions disrupted by common HPS-associated germline variants.

CLINICAL IMPORTANCE OF HPS IDENTIFICATION

Although precision genomics has improved the speed and accuracy of diagnosis for patients with HPS, its impact on therapy is also becoming increasingly relevant. As allogeneic HCT remains an integral part of management of bone marrow failure and malignancy in HPS, exclusion of variants in related sibling and/or haploidentical donors is critical to prevent post-HCT donor-derived malignancies.¹³⁹ Transplant-related morbidity and mortality is higher in patients with underlying HPS due to increased chemotherapy and radiation-therapy sensitivity, particularly pertinent for chromosomal breakage disorders and short telomere syndromes.¹⁴⁰⁻¹⁴² This leads to an excessive risk of cytopenias, infections, and complications from

graft-versus-host disease. Further, owing to the underlying inherent bone marrow dysfunction, immune dysregulation, and use of less intensive conditioning regimens in HPS, patients are at a higher (~10-20%) risk for graft failure.¹⁴³⁻¹⁴⁵ Studies on alternate HCT-conditioning strategies (preferably without chemotherapy or radiation), appropriate donor selection (use of unrelated donors, exclusion of causative variant in related donors, alternate donor strategies such as cord blood) is necessary to pursue HCT safely in these patients.¹⁴⁶

The benefit of genomic assessment is not limited to streamlining HCT management. At Mayo Clinic, our 2-year experience with a precision medicine clinic evaluating 68 patients with unexplained persistent (≥ 6 months) cytopenias (after exclusion of known infectious, autoimmune, toxic, and malignant causes; 29 [43%] with HPS) showed that genomic assessments resulted in an objective change in management in approximately 25% of tested patients.¹⁴⁷ Definition of a change in management included altered donor-selection strategy, conditioning regimen intensity, and/or initiation or discontinuation of a new drug and



was chosen as per a similar study published by Alder et al., assessing utility of a clinical test to measure telomere length (flow cytometry-fluorescence *in situ* hybridization) in the hospital setting.¹⁴⁸ However, diagnosis can be challenging even for patients

affected with syndromic HPS, as was recently shown by a recent Center for International Blood and Marrow Transplant Research (CIBMTR) study.¹⁴⁹

Genomically defined HPS and related IBFMS have specific interventions that are

necessary both from therapeutic and supportive-care standpoints. Specific examples include use of danazol in short telomere syndromes¹⁵⁰ (although controversial other reports have raised questions whether danazol truly prevents telomere attrition^{151,152}), azithromycin prophylaxis to prevent atypical mycobacterial infections in patients with *GATA2* haploinsufficiency syndromes,¹⁵³ use of granulocyte growth factor support for patients with severe congenital neutropenia,¹⁵⁴ and upcoming investigational strategies such as post-transcriptional modulation of *TERC* by inhibition of PAPD5 in dyskeratosis congenita¹⁵⁵ and gene therapy,¹⁵⁶ among others.

Finally, identification of HPS has important implications for screening family members. Owing to genetic phenomena such as incomplete penetrance and somatic reversion, relatives of affected individuals may carry the gene variant but may be clinically silent. Despite lack of early intervention strategies, it is important to offer them appropriate genetic counseling and testing.

OUR APPROACH TO DIAGNOSIS

At Mayo Clinic, we follow a stepwise approach for identification of HPS. Clinical cues that suggest the need for screening include a younger age (≤ 40 years) at onset of cytopenias or diagnosis of a hematopoietic neoplasm; unexplained macrocytosis; elevated fetal hemoglobin; significant personal or family history of either a similar hematopoietic neoplasm, generalized cancer predisposition syndrome, or a bone marrow-failure syndrome associated with HPS; and syndromic features associated with unique genetic abnormalities (HPV-driven warts and warts, lymphedema, and monocytopenia for *GATA2* haploinsufficiency, and reticulocytopenia for DBA [Table 2]). These patients are referred to precision medicine clinics where patients are evaluated by physicians, undergo genetic counseling, and get consented for research-based precision genomics testing. After history and physical examination, a detailed family history is obtained. A bone marrow evaluation (aspirate and biopsy) is performed, if clinically indicated. Based on the suspected

clinical syndrome, specific clinical tests (for example, flow cytometry-fluorescence *in situ* hybridization to measure telomere length, chromosomal breakage assays for Fanconi anemia), and custom-designed targeted panel is ordered (Supplemental Table 1). If a pathogenic variant is discovered, germline confirmation is carried out with either testing in affected/unaffected family members or germline tissue. Germline tissue options include skin fibroblasts, hair follicles, nail clippings, CD3+ T-cells, and buccal swabs, each with their own disadvantages (Supplemental Table 2, available online at <http://www.mayoclinicproceedings.org>). Nail clippings often give a lower DNA yield, T cells may not be ideal germline controls for all HPS, and buccal swabs may be contaminated with leukocytes. One report also claimed skin biopsy to be unsatisfactory owing to a high number of false positive results.¹⁵⁷ In our experience, skin fibroblasts offer reliable results and serve as our preferred germline control. If a variant of uncertain significance is found, a dedicated bioinformatics team assesses *in silico* predictions, sequence conservation through species, and presence or absence in various publicly available population databases. Cases are then discussed in a genomics tumor board, comprising clinicians, geneticists, bioinformaticians, and molecular biologists. Confirmation studies are then carried out after a consensus in a functional validation laboratory. If targeted panel testing results are negative, a research-based whole-exome sequencing data analysis is carried out, with a similar approach for pathogenic variants and variants of uncertain significance (Figure 2).

FUTURE DIRECTIONS

Genomic characterization of HPS has expanded our knowledge on the biological underpinnings of these unique disorders. Studying the mechanism of clonal evolution and neoplastic transformation in these patients are expected to yield novel insights into treatment strategies aimed at altering their natural history. Investigations into how these genetically deficient cells survive and allow clonal selection/proliferation

would guide drug development directed against specific therapeutic vulnerabilities. The advent of gene-editing technologies offers another therapeutic avenue.

CONCLUSIONS

Early recognition of hereditary predisposition to hematopoietic neoplasms is paramount to allow timely diagnosis and direct personalized management. A stepwise genomics approach enables an accurate diagnosis in a majority of patients and helps avoid contextually inadvertent treatments. Future areas of study include feasibility and applicability of such specialized multidisciplinary precision medicine clinics, innovative strategies for variant validation and transplant conditioning, and novel therapies to prevent clonal evolution and reverse genetic discrepancies in HPS.

SUPPLEMENTAL ONLINE MATERIAL

Supplemental material can be found online at <http://www.mayoclinicproceedings.org>. Supplemental material attached to journal articles has not been edited, and the authors take responsibility for the accuracy of all data.

Abbreviations and Acronyms: AA = aplastic anemia; ALL = acute lymphoblastic leukemia; AML = acute myeloid leukemia; CAMT = congenital amegakaryocytic thrombocytopenia; CLL = chronic lymphocytic leukemia; CMML = chronic myelomonocytic leukemia; DBA = Diamond-Blackfan anemia; G-CSF = granulocyte-colony stimulating factor; HCT = hematopoietic stem cell transplantation; HL = Hodgkin lymphoma; HPS = hereditary predisposition syndrome; IBFMS = inherited bone marrow failure syndrome; MDS = myelodysplastic syndrome; MM = multiple myeloma; MPN = myeloproliferative neoplasm; NHL = non-Hodgkin lymphoma; SCN = severe congenital neutropenia; STS = short telomere syndrome; TAR = thrombocytopenia absent radii; WHO = World Health Organization

Grant Support: This article was supported by the Mayo Clinic Center for Individualized Medicine.

Potential Competing Interests: The authors report no competing interests.

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